NWS Seminar, Silver Springs, July 24 2002 ADAPTIVE OBSERVATIONS AT NWS: THE WINTER STORM RECONNAISSANCE PROGRAM

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1 SAIC at NCEP

TOTH ET AL.: TARGETED OBSERVATIONS OUTLINE / CONCLUSIONS

- 1) ATMOSPHERIC OBSERVATIONS
- TRADITIONAL AND ADAPTIVE APPROACHES
- 2) TARGETED OBSERVATIONAL TECHNIQUE
- FCST CASE SECLECTION, SENSITIVE AREA, DATA COLLECTION
- 3) PAST PROGRAMS

EIGHT RESEARCH & OPER. PROGRAMS BETWEEN 1997–2002

4) WINTER STORM RECONNAISSANCE 2001 PROGRAM

OPERATIONALLY IMPLEMENTED AT NWS; POSITIVE RESULTS

5) PLANS

EXPAND WSR PROGRAM IN TIME/SPACE; THORPEX RESEARCH

TOTH ET AL.: TARGETED OBSERVATIONS ATMOSPHERIC OBSERVATIONS

CURRENT PRACTICE:

Most observations are taken

- 1) At fixed times and locations (in situ obs)
- 2) As opportunities arise (aircraft ascent/descent; satellite overpass)
- 3) Based on FEATURE (hurricane, heavy precip & its environment)

ADAPTIVE APPROACH:

Obs. taken adaptively to maximize analysis and/or forecast impact

TARGETED OBSERVATIONS

IMPROVE PARTICULAR FCST FEATURE: Eg, 3–day precip fcst over ne US

QUESTION: Can targeting observations improve fcst performance?

PROBLEM: Fcst feature

may not exist at observation time may undergo major changes till verification time =>

Feature based technique is not applicable at longer lead times

1) How to select fcst feature?

- a) Uncertainty/information content in fcst
- b) Societal impact: Is uncertainty tolerable?

2) How to identify sensitive area to be observed?

- (i) Adjoint sensitivity calcuations
- (ii) Ensemble transform technique

3) How to take observations?

- (i) Dropsondes released from manned aircraft
- (ii) Unmanned aircraft
- (iii) Balloons
- (iv) Satellite

4) How to evaluate technique?

- (i) Data impacts desired fcst feature?
- (ii) Impact positive (ie, fcst improved)?
- (iii) Societal impact Cost effective?



TOTH ET AL.: TARGETED OBSERVATIONS CASE SELECTION

CASE 1: Decision time: 2001020100 Observation time: 2001020300 Verification time: 2001020500 Longitude: 123W Latitude: 48N Priority: HIGH Comments: nw precip Ens Prob of Precip Amount Exceeding 0.5 Inch (12.7 mm/day) Valid Period: 2001020412-2001020512



Relative measure of predictability (colors) for ensemble mean forecast (contours) of 500 hPa height ini: 2001020100 valid: 2001020500 fost: 96 hours



TOTH ET AL.: TARGETED OBSERVATIONS ENSEMBLE TRANSFORM TECHNIQUE

GOAL:

Try to reduce expected fcst error at time *t*2, location *V(erif)* **PROBLEM:**

Locate sens area where extra obsv. at *t1* best achieve goal **METHOD**:

Based on nonlinear ensemble – Bishop and Toth, 1996

Esemble Trasform Kalman Filter *Bishop and Majumdar, 2000* Variance = uncertainty under standard observational network *TRANSFORM ENSEMBLE* to see effect of extra observations *Variance = uncertainty with extra obs.* added at location X MOVE X to see if variance at t2 optimally reduced at Verif area



TRANSFORMATION:

Linear combination of ensemble perturbations – **SVD** in vector space of ensemble perturbations at *t1* and *t2* <u>COMPUTATIONALLY VERY EFFICIENT</u>

TOTH ET AL.: TARGETED OBSERVATIONS DAILY DECISION PROCESS FOR TAKING TARGETED OBSERVATIONS

FORECASTERS: List of significant fcst events: Time, Lat/Lon

PRIORITIZE

Objective guidance can be developed based on ensemble

SDM: Sensitivity computations for each event:

General guidance Best flight tracks Expected data impact

Based on results and priority of each event and available resources,

DECIDE WHETHER TO FLY, AND WITH WHICH PLANE(S)

Can be fully automated for other observing systems



WSR PREDESIGNED FLIGHT TRACKS

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TOTH ET AL.: TARGETED OBSERVATIONS OVERVIEW OF FIELD PROGRAMS

PROGRAM TIME PERIOD OBS.AREA VERIF.AREA

FASTEX Jan–Febr 1997, W–Atlantic Europe First field test of targeted observations. Research mode, collaboration with MIT, NCAR and others. International cooperation.

NORPEX Jan–Febr 1998 NE–Pacific W–US First test over Pacific. Collaboration with NRL. Comparison of different techniques.

CALJETMarch 1998NE–PacificCAFirst test of mesoscale targeting. Targeting technique modified for mesoscales. Collaboration with ERL and others.

WSR99 Jan–Febr 1999 NE–PAcific CONUS, AL Quasi–operational program. Case selection by NWS forecasters.

WSR2000 Training of o	Jan–Feb perationa	or 2000 I personnel	NE–Pacific	CONUS, AL
WSR2001 Operational i	Winter 2 implemen	001 Itation	NE-Pacific	CONUS, AL
PACJET Meso–scale	Winter 2 research	001 program	NE-Pacific	W–US
WSR2002 Fully operation	Winter 2 onal	002	NE-Pacific	CONUS, AL
THORPEX-1 tem tests	TOST	Winter 2003	Atlantic	& Pacific – Obs. sys-

TOTH ET AL.: TARGETED OBSERVATIONS WINTER STORM RECONNAISSANCE PROGRAM January 15 – February 20, 2001

BASED ON: WSR 2000 & earlier field programs

NEW ELEMENT: Transition into operations

COLLABORATIVE EFFORT:

Forecast f	feature	Sensitive area

Aircraft operations

Regions => HPC => EMC/SDM

=> AOC/USAF Reserve

EACH MISSION:

Requested by field/HPC forecasters to support critical weather fcsts Operational needs

Among predisigned flight tracks, best is selected objectively SDM training

Dropsonde flight missions carried out by AOC & USAF Reserve

TOTAL OF 17 MISSIONS, 360 DROPSONDES:

8 NOAA G–IV (from Honolulu) and 10 USAF C–130 (from Anchorage) flights

ALL DATA USED OPERATIONALLY

DATA IMPACT EVALUATION:

Near real time parallel assimil. fcst cycle with dropsonde data excluded:

http://sgi62.wwb.noaa.gov:8080/ens/target/wsr2001.html

TOTH ET AL.: TARGETED OBSERVATIONS AN EXAMPLE: PACIFIC NW STORM

CASE 1: Observation time: 2001020300 Verification time: 2001020500 Longitude: 123W Latitude: 48N Priority: HIGH Comments: nw precip Ens Prob of Precip Amount Exceeding 0.5 inch (12.7 mm/day) Valid Period: 2001020412-2001020512



Relative measure of predictability (colors) for ensemble mean forecast (contours) of 500 hPa height ini: 2001020100 valid: 2001020500 fost: 96 hours



Expected forecast error reduction in verification region (VR) due to adaptive abservations around any grid point. Case 1 Obs. time: 2001020300 Verif. time 2001020500 VR: 48N, 123W, 1000km radius Verif. var.: u,v,t PSU-NCEP ETKF based on 35-member 2001020100 combined ensemble. Best flight tracks: 34 33 19

















4-day FCST

2-day FCST

VERIFICATION

24 hours accumulated precipitation by end of 2001020512





Blue contours: Forecast degredation

TOTH ET AL.: TARGETED OBSERVATIONS WINTER STORM RECONNAISSANCE PROGRAM 2001

JAN. 15– FEBR. 20

DATE/FLIGHTS		VERIFICATION	TIONCENTER OFIME1000 KM DISC				
		LEAD TIME			VERIICATION.		
YYMMDD	(AT 00Z)	HRS	Ν	W	SP	WND	PRC SUM
010128	21	48	36	91	+	+	+
		72	39	77	-	+	0
010131	20+31	24	50	124	+	+	+
		48	50	124	+	+	+
		108	35	96	_	_	_
010201	20+35	24	50	124	+	+	+
		96	30	87	+	—	0
010203	34	48	48	123	+	+	+
010204	21+S	36	48	124	+	0	+
		96	35	95	+	+	+
		24*	21	158	+	+	+
010205	37	96	35	88	+	+	+
010206	9	72	36	91	+	+	+
		96	40	80	+	+	+
010207	8	48	42	123	_	_	_
		72	39	86	+	+	+
010210	Η	24	21	157	+	+	+
		48	21	157	0	+	+
		72	21	157	0	+	+
010217	45	36	39	124	0	+	+
		48	41	91	0	+	+
010219	46	24	40	122	+	+	+
010220	37	48	39	121	0	+	+
		72	36	76	0	+	+
010226	F	24	35	112	0	0	0
		48	35	92	-	0	-
	_	72	34	86	-	—	_
010301	Ρ	24	49	123	-	+	0
010303	Ρ	24	40	123	+	0	+
		36	38	120	+	+	+

TOTH ET AL.: TARGETED OBSERVATIONS WINTER STORM RECONNAISSANCE PROGRAM 2001

	SP WND	SUM
PERCENT OF FORECASTS IMPROVED:	52 74	74
PERCENT OF FORECASTS DEGRADED:	19 15	15

TOTAL OF 27 FORECAST VERIFICATION CASES:IMPROVEMENT20NEUTRAL IMPACT3DEGRADATION4RESULTS STATISTICALLY SIGNIFICANT AT 0.1% – 5% LEVEL



FIG. 2: RMS error of 24–84 hours targeted surface pressure forecasts, measured against observations within predefined verification regions over the west coast, Hawaii, and the eastern US, with (horizontal axis) and without (vertical axis) the use of dropsonde data for the 27 Winter Storm Reconnaissance Program 2001 cases.

FIG. 3: Same as Fig. 2 except for wind vector errors integrated for the 1000–250 hPa layer.

TOTH ET AL.: TARGETED OBSERVATIONS WINTER STORM RECONNAISSANCE PROGRAM <u>January 22 – March 20, 2002</u>

BASED ON: Earlier field programs

NEW ELEMENT: **Fully Operational**

COLLABORATIVE EFFORT:

Forecast feature Sensitive area

Aircraft operations

Regions => HPC => SDM

=> AOC/USAF Reserve

EACH MISSION:

Requested by field/HPC forecasters to support critical weather fcsts **Operational needs**

Among predisigned flight tracks, best is selected objectively SDM personnel trained

Dropsonde flight missions carried out by AOC & USAF Reserve

TOTAL OF 22 MISSIONS, 500-600 DROPSONDES: 18 NOAA G-IV (from Anchorage & Honolulu) and 7 USAF C–130 (from Honolulu) flights

ALL DATA USED OPERATIONALLY

DATA IMPACT EVALUATION:

Parallel assimil. fcst cycle with dropsonde data excluded, see later at:

http://sgi62.wwb.noaa.gov:8080/ens/target/wsr2001.html NWS Seminar, Silver Springs, July 24 2002

TOTH ET AL.: TARGETED OBSERVATIONS SUMMARY OF TARGETED OBSERVATIONS RESULTS

	SIGNAL		MOD/		VERIFICATION		
	sp	prc	NULL	sp	wnd	prc	SUM
FASTEX	7+1–0		12/ <mark>3</mark>		3–2		3–2
NORPEX	8–2	7+1–2		7–2	7–1	7–0	7–0
CALJET	3+1–1	4+1	1	5–0	3–1		4–0
WSRP–99 WSRP–00 WSRP–01	21+4–0	24+1–0		16–5 16–2 14–5	15–8 15–8 20–4	13–2	18–5 15–3 20–4
TOTAL	39+6–3	35+3–2	12/4	58–14	63–24 60–22	20–2	67–14 <u>64–</u> 12
% SUCC.:	81–94	87–95		81	73	91	84
STAT. SIGN	-			*	*	*	*

1) Max. signal is in verif. area in most cases –

12–84 hrs lead time, Continental US + Alaska

- 2) Moderately sensitive areas contribute less
- 3) Nonsensitive areas virtually have no impact
- 4) Forecasts improve in 70–90% cases
- 5) 10–20% average error reduction in verif area
- 6) Targeted forecasts gain 12–24 hrs in lead time

TECHNOLOGY TRANSFERRED INTO OPERATIONS NWS Seminar, Silver Springs, July 24 2002





Average pattern of sensitivity in initial conditions to errors in a 36-hour forecast over the west coast for the 15 Winter Storm Reconnaissance Program 1999 cases in 36-hr forecasts (top, shades of blue). The surface pressure impact of the dropsonde data (red dots) is shown in black contours at initial time (top, contour interval is 0.1 mb, starting at 0.2 mb), and at 36-hr lead time (bottom, first contour is at 0.8 mb). Note that the maximum average forecast impact of the targeted data is within the area of preselected verification regions (averaged location shown as a blue ellipsoid), right over the area of large (greater than 2.5 mb) control surface pressure forecast error shaded in pink.

TOTH ET AL.: TARGETED OBSERVATIONS FASTEX RESULTS



Signal from real targeted areas amplifies much more than that from adjacent, less sensitive areas (significant difference at 0.5% level, Wilcoxon rank sum test)



For null cases signal outside of verification area over that within verification area is much larger than that for real cases (significant difference at 0.5% level, Wilcoxon rank sum test)

Based on 11 out of 14 FASTEX cases





2-day surface pressure fcsts improved 7 out of 8+2 cases (statistically significant at 10% level)

NCEP flight days have higher skill than all other days (or other flight days); statistically significant at 5% level

RMS ERROR WITH DROPSONDES

RMS error (measured against observations) of 2–day surface pressure forecasts with (horizontal axis) and without (vertical axis) of dropsonde data for the 10 NORPEX–98 cases (top) where the NCEP targeted guidance was used, over western north America (230–260 E, 30–60 N). On the bottom panel errors for all other days in the NORPEX–98 period (January 16 – February 27, except for missing data on Febr. 16, 17 and 19, where no flights were taken) are shown with crosses whereas the NCEP flight days are repeated with dots.

TOTH ET AL.: TARGETED OBSERVATIONS **RMS SURFACE PRESSURE ERROR REDUCTION (%)** AVERAGE LOCATION OF VERIFICATION REGION



72 HOURS

WSR2000



RMS error (upper panel) of surface pressure forecasts with (continuous lines) and without (dashed lines) dropsonde data for the 12 WSR2000 cases, over the US (25–50N, 125–70W), West coast (25–50N, 125–100W), Eastern US (25–50N, 100–70W), and Alaska (55–70N, 165–140W). RMS error reduction (lower panel) in surface pressure over western half of Northern Hemishpere extratropics due to winds and temperature, or separately winds only or temperature only targeted dropsonde data for the 12 WSR2000 cases. The sum of the separate winds and temperature only error reduction values is also shown.

AVERAGE % ERROR REDUCTION IN 48-HR SURFACE PRESSURE FORECASTS AVERAGE 48-HR SURFACE PRESSURE FORECAST ERROR



Average reduction in 48–hr surface pressure forecast error for 15 Winter Storm Reconnaissance Program 1999 cases (top, shades of red, %), along with the average 48–hr control surface pressure forecast error (black contours). Note that the use of the dropsonde data reduced forecast errors on average by 10–20% over the area of maximum forecast errors within the average location of the verification regions at 48–hr lead time (blue dashed ellipsoid). In comparison, improvements in the Northern Hemispheric observing system produced a 10% rms error reduction in 2–day 500 hPa reanalysis forecasts during the most recent 25 years (bottom right).

QUALITATIVE COMPARISON OF *ENSEMBLE TRANSFORM* AND *ADJOINT* SENSITIVITY RESULTS, JAN. 25 2000 STORM



Fig. by Shapiro



TOTH ET AL.: TARGETED OBSERVATIONS ADAPTIVE OBSERVATIONAL PLANS

1) EXPAND OPERATIONAL WSR PROGRAM

- a) Cover full winter (4 instead of 2 mos.)
- b) Fold East Coast Winter Storm program under WSR
- c) Establish West Coast mesoscale program under WSR

2) THORPEX RELATED RESEARCH

- a) Study adaptive obs approach on larger (global) domain
- b) Explore targeting longer (4–6–day) range fcsts
- c) Test use of new LIDAR wind, aerosonde, driftsonde obs.
- d) Compare traditional vs. adaptive approach in OSSEs
- e) Refine sensitivity analysis increase resol./ens. members
- f) Automate case selection based on ensemble fcsts
- g) Study economic impact

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TOTH ET AL.: TARGETED OBSERVATIONS QUESTIONS – 4

4) CAN AN ENSEMBLE PREDICT VARIATIONS IN FCST UNCERTAINTY?

REPHRASED QUESTION:

What are the typical variations in foreseeable forecast uncertainty? What variations in predictability can the ensemble resolve?

METHOD:

Ensemble mode value to distinguish high/low predictability cases Stratify cases according to ensemble mode value –

Use 10–15% of cases when ensemble is highest/loewest

DATA:

NCEP **500 hPa NH extratropical ensemble fcsts** for March–May 1997 14 perturbed fcsts and high resolution control

VERIFICATION:

Hit rate for ensemble mode and hires control fcst



TOTH ET AL.: TARGETED OBSERVATIONS SEPARATING HIGH VS. LOW UNCERTAINTY FCSTS



THE UNCERTAINTY OF FCSTS CAN BE QUANTIFIED IN ADVANCE

HIT RATES FOR 1–DAY FCSTS

CAN BE AS LOW AS 36%, OR AS HIGH AS 92%

10–15% OF THE TIME A 12–DAY FCST CAN BE AS GOOD, OR A 1–DAY FCST CAN BE AS POOR AS AN AVERAGE 4–DAY FCAST

1–2% OF ALL DAYS THE 12–DAY FCST CAN BE MADE WITH MORE CONFIDENCE THAN THE 1–DAY FCST

AVERAGE HIT RATE FOR EXTENDED-RANGE FCSTS IS LOW -VALUE IS IN KNOWING WHEN FCST IS RELIABLE





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TOTH ET AL.: TARGETED OBSERVATIONS WHAT MAKES FCSTS BETTER / MORE USEFUL?

1) More / better quality data

– within 25 years:10% 2D error reduction, 6–hr gain

2) Improved analysis schemes

– within 6 years:10% 5D AC improvement, 12–hr gain

3) Better fcst models

4) Use of ensembles: 25–30% 5D Brier score imprvm.,24–hour gain CONTROL ENSEMBLE



IMPROVEMENTS IN DATA ONLY Reanalysis Forecast 500 mb Height RMS





Pattern Anomaly correlation (PAC, upper panle) and RMS error (lower panel) oF surface pressure forecasts with (continuous lines) and without (dashed lines) dropsonde data for the 12 WSR2000 cases, over the US (25–50N, 125–70W), West coast (25–50N, 125–100W), Eastern US (25–50N, 100–70W), and Alaska (55–70N, 165–140W).